

Docket No. 0557-4909-3

IN RE APPLICATION OF: Kazunori ITO, et al.

SERIAL NO: 09/498,375

FILED: February 4, 2000

FOR: OPTICAL DEVICE SUBSTRATE FILM-FORMATION APPARATUS, OPTICAL DISK SUBSTRATE ...



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ASSISTANT COMMISSIONER FOR PATENTS
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SIR:

Transmitted herewith is an amendment in the above-identified application.

☒ No additional fee is required

☐ Small entity status of this application under 37 C.F.R. §1.9 and §1.27 is claimed.

☒ Additional documents filed herewith: Marked-up Copy; Letter Requesting Approval of Drawing Changes; Drawing (1 sheet)

The Fee has been calculated as shown below:

CLAIMS	CLAIMS REMAINING		HIGHEST NUMBER PREVIOUSLY PAID	NO. EXTRA CLAIMS	RATE	CALCULATIONS
TOTAL	41	MINUS	80	0	x \$18 =	\$0.00
INDEPENDENT	8	MINUS	19	0	x \$84 =	\$0.00
		<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS			+ \$280 =	\$0.00
		TOTAL OF ABOVE CALCULATIONS				\$0.00
		<input type="checkbox"/> Reduction by 50% for filing by Small Entity				\$0.00
		<input type="checkbox"/> Recordation of Assignment			+ \$40 =	\$0.00
		TOTAL				\$0.00

☐ A check in the amount of \$0.00 is attached.

☒ Please charge any additional Fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

☒ If these papers are not considered timely filed by the Patent and Trademark Office, then a petition is hereby made under 37 C.F.R. §1.136, and any additional fees required under 37 C.F.R. §1.136 for any necessary extension of time may be charged to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

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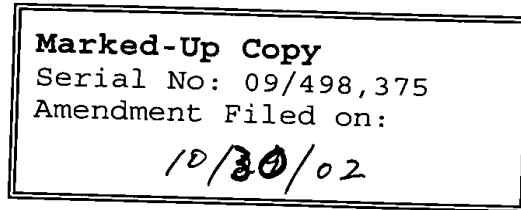
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IN THE SPECIFICATION

Page 10, beginning at line 12, please replace the paragraph as follows:

--It is another object of the present invention to make it possible to take out and carry a substrate with a film having been formed by sputtering efficiently at a high speed in an apparatus used for forming a film by closely contacting at least one portion of a rear surface of a section of an optical disk substrate in which a thin film is formed during film formation by sputtering to [s] a substrate holder.--

Page 23, beginning at line 17, please replace the paragraph as follows:

--Substrate carriage arms 4a to 4f are connected to the substrate holders 6a [t] to 6f, and the substrate carriage arms 4a to 4f are fixed on a central section 30. The central section 30 is rotated by a driving section not shown herein. In association with this rotation, the substrate holders 6a to 6f can successively set one optical disk substrate in the film-formation chambers 2a to 2e.--

Page 25, beginning at line 1, please replace the paragraph as follows:

--The optical disk substrate 1 is fixed by an inner mask 11 and an outer mask 12 to the holder section 3, [a] and a film is formed only in an area S of the optical disk substrate exposing from the inner mask 11 as well as from the outer mask 12 (film-formed area).--

Page 25, beginning at line 12, to page 26, line 4, please replace the paragraph as follows: